

PART 4. TEST SUBSTANCE AND SEED RECORDS FOR SEED TREATMENT TRIALS A. RECEIPT, STORAGE AND DISPOSITION OF SEED--INSTRUCTIONS:

| PLEASE INSERT AN IMAGE OF SEED CO | | | | R SEED AF | TER PART 4I |
|--|-------------------------------|-----------------------------|---------------------------------------|--------------|-----------------|
| NAME OF TEST SUBSTANCE | | | | | |
| NAME OF TEST SUBSTANCE FORMUL SEED (E.g. Darnitall 2 EC or GroundUp o | | D | | | |
| UNIQUE IDENTIFIER: | | DATE OF | FRECEIPT | į | |
| Provide the unique identifier of the seed as | it appears on the | SEED EX | YPIRATION DATE | | |
| container label(s) | | | ed by treatment facility) | <u> </u> | |
| Do not assign a | expiration date if no | ne is provio | ded with the seed—cont | act the Stu | dy Director. |
| SOURCE OF EXPIRATION DATE | | | | | |
| Note the source of the expiration date of the listed on documentation provided by treatm | | | | • | ration date |
| CARRIER/TRACKING NO. E.g. UPS/ABCDE12K0601601993 | V V. | | | | |
| INDIVIDUAL WHO RECEIVED SEED | | | | | |
| WAS A BILL OF LADING/WAYBILL RE | CEIVED? | | | YES | NO |
| BILL OF LADING/WAYBILL/TRACKIN Insert true copy if a Bill of Lading or Wayb | | shipment | | | |
| NUMBER OF CONTAINERS | CONTAIL | NER DESCI tles, cloth bo | | | |
| AMOUNT RECEIVED - UNTREATED | 1.0 | | nits: (check one) grams | _ kg oz | z lbs |
| AMOUNT RECEIVED - TREATED 1 | | Un | nits: (check one) grams | _ kg oz | z lbs |
| AMOUNT RECEIVED - TREATED 2 | | Un | nits: (check one) grams | _ kg oz | z lbs |
| CONDITION OF CONTAINER ON ARRI | VAL (intact, bags bro | ken, etc.) | | | |
| STORAGE LOCATION | | | | | |
| Provide the location (building name, cabine | et number, etc.) where | the untreated | d and treated seed will be | e stored dur | ring the trial. |
| WAS THE SEED HELD TEMPORARILY | | | · · · · · · · · · · · · · · · · · · · | YES | NO |
| TO ITS LONG-TERM STORAGE LOCAT *Temperature monitoring should begin with | | | illa (| | NO |
| person responsible for receiving it, regardle | | | ine I ieiu Keseuren Direc | ioi oi ine a | iesignaiea |
| | | | HE SAME CONDITIONS | S | |
| | ough separation to av | oid cross co | ntamination) | | |
| IF YES, ENTER LOCATION | <u> </u> | | | | |
| DATES | ESTIMATED TEM | PERATURE | E prior to monitoring | | |
| | | | | | |
| ABOVE DATA ENTERED BY: | | | DATE: | | |
| | PART 4 PAC | SE | | Trial Y | Year 2021 |
| Total number of pages in this section at | initial pagination: _ | (Pag | inate labels/SDS as b | elonging t | o Part 4) |
| COMPLETE IF APPROPRIATE: "THIS IS THE ORIGINAL IS IN IR-4 FIELD DATA BO | S A TRUE COPY OF TH OK NO. | | | | |

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| IR-4 FIELD | DATA BOOK |

PART 4. TEST SUBSTANCE AND SEED RECORDS FOR SEED TREATMENT TRIALS
B. (Formerly 4A2) DOCUMENTATION OF SEED FROM TEST SITE FACILITY TO SEED TREATMENT FACILITY
(For use when seed is sent by FRD to treatment facility)

SEED CHAIN OF CUSTODY FORM

| | SECTION R | ECORDED BY FIELI | D RESEARCH DIRE | CTOR | | | |
|---|------------------------|---------------------------------------|-------------------------|--------------|-------|----------|-------|
| (INCLUDE THE FOLLOWI | ING INFORMA | ATION WHEN TRAN | SFERRING SEED T | O SEED TREA | TMENT | FACIL | ITY) |
| Field Trial ID | | | | | | | |
| Seed Identity (crop) | | | | | | | |
| Crop Variety | | | | | | | |
| Approx. Seed Weight | UNTREA | TED SEED: | Units: (chec | k one) grams | kg | _ OZ | lbs |
| | TREAT | ED SEED 1: | Units: (chec | k one) grams | kg | _ OZ | lbs |
| | TREAT | TED SEED 2: | Units: (chec | k one) grams | kg | _ oz | _ lbs |
| Previous seed treatments (List by chemical name) | No previous s | seed treatments Yes, there were | e previous seed treatme | entsLIST | HERE: | | |
| Name of Seed Treatment Facility to send seed | | | | | | | |
| Address of facility | | | | | | | |
| Courier used to ship seed to treatment facility | | | | | | | |
| | CECTION D | ECODDED DV CEED | | II 1/D\$/ | | | |
| SEED TREATMENT FACILI' Please sign this form, return th RECEIVED AT TREATMENT FAC | TY se original to t | ECORDED BY SEED he Field Site and re | | | | | |
| RECEIVED AT IREATMENT FAC | <u> </u> | Print name | | | | | |
| | | | | | | | |
| | | Signature | | Date | | | |
| | SECTION R | ECORDED BY FIELI | D RESEARCH DIRE | CTOR | | | |
| RECEIVED AT <u>FIELD TEST SITE</u> | BY: | | | | | | |
| | | Print name | | | | | |
| | | Signature | | Date | | | |
| PLEASE RETURN THE ORIGINAL | L TRANSFER I | FORM TO THE SEND | ER, AND KEEP A CC | PY FOR YOUR | RECOR | DS. | |
| | | PART 4 | PAGE | | Tria | l Year 2 | 2021 |
| COMPLETE IF APPROPRIATE: THE ORIGINAL IS IN IR-4 FIELD | | TRUE COPY OF THE | ORIGINAL" INITIALS | DATE | | | |

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PART 4. SEED RECORDS

C. (Formerly 4B and 4C) USE LOG AND DISPOSITION OF UNUSED SEED

INSTRUCTIONS: Complete this log with information concerning the seed received. Insert records on form or provide equivalent information. Indicate use of the stated container of the seed by recording the dates that seed was removed, the amount of seed removed on each date, the purpose of the use (include trial ID# for all uses on IR-4 studies), and the initials of the individual responsible for the removal.

| UNIQUE IDEN | TIFIER OF SEED_ | | CONTAINER | ID |
|------------------|----------------------------------|--|-----------------------|------------------------------|
| DESCRIPTION | N OF SEED | | | |
| | | e.g. light brown miniscule seeds, large wh nusual characteristics or changes here.) | ite seeds, small dus | t-like particles. Note any |
| ABOVE DATA | ENTERED BY: | | INITIAL/DA | TE: |
| DATE | | | | UTC = |
| SEED RECEIVED | | STARTING QUANTITY OF SEED | (add units) | TRT = |
| DATE REMOVED | AMOUNT REMOVED (Add units) | PURPOSE (include trial ID#) [e.g. apply treatments, used in other | research, etc.] | INITIALS/DATE |
| | UTC- | | | |
| | TRT 1- | | | |
| | TRT 2- | | | |
| | UTC- | | | |
| | TRT 1- | | | |
| | TRT 2- | | | |
| | UTC- | | | |
| | TRT 1- | | | |
| | TRT 2- | | | |
| | UTC- | | | |
| | TRT 1- | | | |
| | TRT 2- | | | |
| Treated seed on | aly needs to be stored | and monitored until sample chain of custo | ody receipt is receiv | ed from analytical laborator |
| SAMPLE CHA | IN OF CUSTODY R | ECEIVED? YES NO | DATE OF RECEIP | T |
| ABOVE DATA | ENTERED BY: | | DATE: | |
| | · | PART 4 PAGE | | Trial Year 2021 |

| FIELD ID NO: | |
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IR-4 FIELD DATA BOOK

PART 4. SEED RECORDS

D. IDENTIFICATION AND RECEIPT OF INOCULANT (generally applies to legumes, otherwise check with Study Director)

NOTE: THE STUDY DIRECTOR MUST BE CONTACTED PRIOR TO USE OF AN INOCULANT WITH THE SEED. Dry inoculation should be the preferred application method – Discuss with Study Director prior to seeding. Place an image of the label after Part 4F.

| SEED TRT 1: NAME OF THE INOCULANT | | | |
|---|-------------------|------|-----------------|
| TYPE OF INOCULANT | | | |
| DA' | TE OF RECEIPT | | |
| | RECEIVED BY | | |
| DOES THE INOCULANT HAVE A BATCH OR | LOT NUMBER? | YESN | NO |
| IF YES, ENTER THE B | ATCH/LOT NO. | | |
| EXP | IRATION DATE | | |
| AMOUNT RECE | IVED (add units) | | |
| | SOP UTILIZED | | |
| CONTAINER DESCRIPTION (e.g. glass bottles, bag) | | | |
| CONDITION ON ARRIVAL (e.g. good, bags broken, etc.) | | | |
| Were the storage conditions on the label followed? | Check one: YES | NO | |
| SEED TRT 2: NAME OF THE INOCULANT | | | |
| TYPE OF INOCULANT | | | |
| DA | TE OF RECEIPT | | |
| | RECEIVED BY | | |
| DOES THE INOCULANT HAVE A BATCH OR | LOT NUMBER? | YESN | NO |
| IF YES, ENTER THE B | ATCH/LOT NO. | | |
| EXP | IRATION DATE | | |
| AMOUNT RECE | IVED (add units) | | |
| | SOP UTILIZED | | |
| CONTAINER DESCRIPTION (e.g. glass bottles, bag) | | | |
| CONDITION ON ARRIVAL (e.g. good, bags broken, etc.) | | | |
| Were the storage conditions on the label followed? | Check one: YES | NO | |
| | | | |
| ABOVE DATA ENTERED BY: | | ATE: | |
| PART 4 PAGE | _ | | Trial Year 2021 |
| COMPLETE IF APPROPRIATE: "THIS IS A TRUE COPY OF | ΓHE ORIGINAL" | | |
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PART 4. SEED STORAGE RECORDS

| INSTRUC temperatur provide the | res are taken, di | is (or an equiv irectly record person enterir | valent) form the date, th ng the data. | when storage te minimum and When temperd | l maximum ai uture records | r temperatu are monitor | re, the degree u ed automatical | ly. For each day units (°F or °C) an ly, the original or a Book. |
|---|---|---|--|---|--|----------------------------|------------------------------------|--|
| STORAGI | E LOCATION: e location (build | | | | | | | |
| | IDENTIFIER F perature Record | | | | ussigned ideni | tifier. | | |
| DATE | TEMP MIN/MAX | INITIALS | DATE | TEMP. MIN/MAX | INITIALS | DATE | TEMP MIN/MAX | INITIALS |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| storage a min/max <u>treated se</u> | nd field. If th temperatures <u>ed should hav</u> | ere are two de below for each enough se | or more se ech one, de paration t | ed containers epending on the o avoid contain | (or separate he dates of r mination. | e shipment eceipt and | s of seed), the planting date | ation between n enter separato s. <u>Untreated ar</u> OF PLANTING |
| *Treated | l Seed 1: | | | | | | | |
| Minimu | m seed storage | e temperature | e between | receipt and da | te of planting | g: | | |
| Maximu | m seed storage | e temperatur | e between | receipt and da | te of plantin | g: | | |
| | l Seed 2: | | | | | | | |
| | m seed storage | | | | | | | |
| Maxımu | m seed storage | e temperatur | e between | receipt and da | ite of plantin | <u>g:</u> | | |
| Unless o | therwise note | ed above, al | l tempera | ture units are | in (Check | one): °(| C | °F |
| Above do | ata entered by | y: | | | Date | ? | | |
| | | | | Γ 4 PAGE _ | | | | Trial Year 2021 |
| COMPLET | E IF APPROPRI | | | JE COPY OF TH | | | | |

THE ORIGINAL IS IN IR-4 FIELD DATA BOOK NO. _____ INITIALS _____ DATE____

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PART 4. SEED RECORDS

F. BALANCE CALIBRATION CHECK

INSTRUCTIONS: Complete this form or provide equivalent information for the seed. Check balance calibration by weighing standard weights that bracket the desired measurement. Record: date(s) that the balance calibration was checked, the standard weights, and the results. In addition, provide dates and a brief description of maintenance and repair work completed on the balance relevant to the trial. Be sure to initial all entries.

| | | UNITS MEASU | RED | | |
|--|--|---|--|-----------------------|------------------------------------|
| Date | Stated Wt. | Recorded Wt. | Stated Wt. | Recorded Wt. | Initials |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| If more than a Recorded Wt | one weight is used t. = Actual recorde ATES AND BRIE | d mass of the standar | rd weight, indica ard weight(s) | | the individual weights. NANCE AND |
| If more than of Recorded Wt | one weight is used t. = Actual recorde | to attain the standad mass of the standader DESCRIPTION (| rd weight, indica ard weight(s) | te on the lines below | . |
| If more than of Recorded Wt | one weight is used t. = Actual recorde ATES AND BRIE | to attain the standad mass of the standader DESCRIPTION (| rd weight, indica ard weight(s) | te on the lines below | . |
| If more than a Recorded Wt RECORD DA REPAIR WC | one weight is used t. = Actual recorde ATES AND BRIE DRK DONE ON B | to attain the standa d mass of the standa F DESCRIPTION O ALANCE | rd weight, indica | RATION, MAINTE | . |
| If more than of Recorded Wt RECORD DATA ENTER | one weight is used t. = Actual recorde ATES AND BRIE DRK DONE ON B | to attain the standa d mass of the standa F DESCRIPTION O ALANCE | rd weight, indicated weight(s) DF ANY CALIB GE | RATION, MAINTE | NANCE AND Trial Year 202 |